



3742 #11

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/007,367	
	Filing Date	10/22/2001	
	First Named Inventor	Daniel HOFFMAN, et al.	
	Group Art Unit	3742	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	4097 P01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		RECEIVED NOV 14 2003 TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert M. Wallace Reg. No. 29,119
Signature	
Date	11/06/2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 6, 2003			
Typed or printed name	Shelly Hart		
Signature		Date	11/06/2003

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CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on November 16, 2003 (Date of Deposit)
11-06-2003 Shelly Hart
Date Name



PATENT

Attorney Docket No. 4097 P01
RW Ref. No. APM/063-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Daniel HOFFMAN, ET AL.

Entitled: MERIE PLASMA REACTOR WITH
OVERHEAD RF ELECTRODE TUNED TO THE
PLASMA WITH ARCING SUPPRESSION

Serial No.: 10/007,367

Filing Date: October 22, 2001

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) Group Art Unit: 3742
)
) Examiner: Unknown
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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Robert M. Wallace".

Dated: November 6, 2003

Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

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(805) 644-4035

INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1



Application Number: 10/007,367
Filing Date: October 22, 2001
First Named Inventor: Daniel HOFFMAN, et al.
Group Art Unit: 3742
Examiner Name: Unknown
Attorney Docket Number: 4097 P01

U. S. PATENT DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-6,016,131	01-18-2000	SATO ET AL.	343	895	05-03-1999
	US-6,218,312 B1	04-17-2001	COLLINS ET AL.	438	723	10-08-1998
	US-6,462,481 B1	10-08-2002	HOLLAND ET AL.	315	111.21	07-06-2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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